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(71) Applicant: BAXTER INTERNATIONAL INC. [US/US]; One Baxter Parkway, Deerfield, IL 60015 (US).

(72) Inventors: DENIEGA, Jose, C.; 22245 Anthony Drive, Lake Forest, CA 92630 (US). DUFF, Daniel, H.; 17 Heron, Irvine, CA 92714 (US). SCHOENDORFER, Donald, W.; 1842 Whitestone Terrace, Santa Ana, CA 92705 (US). MILLER, William, R.; 10841 Hideaway Drive, Santa Ana, CA 92705 (US).

(74) Agents: CANTER, Bruce, M. et al.; 2132 Michelson Drive, Irvine, CA 92715-1304 (US).

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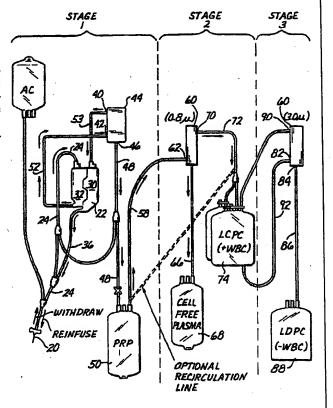
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(54) Title: APPARATUS AND METHODS FOR GENERATING LEUKOCYTE FREE PLATELET CONCENTRATE

#### (57) Abstract

Methods and devices for separating leukocytes from a leukocyte contaminated blood fraction by use of a rotating membrane filter apparatus (60). The invention includes methods/devices for preparing substantially leukocyte free platelet concentrate for therapeutic use. The devices of the invention include a rotating membrane filter apparatus (60) for leukocyte separation as well as tubing harness/component systems (Figs. 2a, 2b, 2c) useable in connection with automated apheresis instruments.



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#### APPARATUS AND METHODS FOR GENERATING LEUKOCYTE FREE PLATELET CONCENTRATE

#### Field of the Invention

The present invention relates generally to medical apparatus and methods and, more particularly, to apparatus and methods for obtaining substantially leukocyte free platelet concentrate from whole blood.

#### Background of the Invention

In medical practice it is sometimes desirable to transfuse patients with quantities of platelet concentrate as a means for treating thrombocytopenia and/or subnormal thrombocyte counts as may result from various systemic disorders or following certain medical procedures. Typically, such platelet transfusions are administered in the form of a platelet-plasma concentrate containing approximately 1.5-1.8 million platelets per microliter of Such platelet concentrate is typically packaged and utilized in "units", each unit having a volume of 200 milliliters.

Platelet concentrates for transfusion have heretofore typically been prepared by first extracting platelet-rich plasma from whole blood, and subsequently subjecting the platelet-rich plasma to a secondary concentration process whereby additional plasma is removed so as to leave the desired platelet concentration of 1.5-1.8 million platelets 25 per microliter. One example of an automated method/device for obtaining platelet concentrate from whole blood is described in Schoendorfer. D.W., Williamson. Sheckler, V.L. and Fitzgerald, B.P.: Platelet Collection 30 with the Autopheresis-C® Apheresis System; Vox Sanquinis, 58:100-105(1990).

The instrumentation and methodology heretofore utilized to obtain platelet concentrate generally allows quantities of contaminating leukocytes remain in the final platelet concentrate. By some processes, the level of contaminating

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leukocytes contained in the platelet concentrate may be in the range of 10<sup>6</sup>-10<sup>9</sup> leukocytes per 200 ml unit of platelet concentrate.

leukocytes within the The presence of concentrate is undesirable because leukocytes are much more immunogenic than platelets. The presence of contaminating leukocytes in platelet concentrate infusions may have been associated with various responses that are detrimental to the recipient of the transfusion. Such responses may include pyrogenic febrile reactions, refractoriness to 10 platelet transfusions due to alloimmunization to HLA antigens found on the surfaces of the leukocytes and grafthost diseases caused by transfusion of lymphocytes into immunodeficient patients. Also, the presence 15 contaminating leukocytes may result in transmission of leukocyte-associated viral diseases, many of which may cause severe illness or even death.

In view of the adverse effects associated with the presence of leukocytes in platelet transfusions, it is desirable to devise methods for removing or excluding some or all of the contaminating leukocytes from the platelet concentrate.

Centrifugation has been explored as one possible means of removing unwanted leukocytes from platelet concentrate. However, centrifugation techniques are less than optimal for this purpose due to indefiniteness of the interface formed between the resultant layers of leukocytes and Leukocyte removal filters utilized for this platelets. purpose have typically incorporated filtration material(s) capable of selectively adsorbing leukocytes from the platelet concentrate, based on differences in the surface properties of the leukocytes and platelets. At least one commercially available leukocyte removal incorporates a matrix of non-woven polyester fibers over which the leukocyte contaminated platelet concentrate is

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gravity fed. (Sepacell®, Asahi Medical Co., Ltd., Tokyo, Japan) Removal of leukocytes from platelet concentrate by the use of such adsorption filters may be problematic due to some populations of leukocytes having non-typical surface characteristics not attracted by the filter material and/or frequent exhaustion or diminution in efficiency of the filter due to occupation of the filter surfaces by adsorbed leukocytes.

In view of the shortcomings associated with the existing methods for removing leukocytes from platelet concentrate, there remains a need in the art for the development of new, improved and/or automated methods for extracting or removing some or all of the contaminating leukocytes found in collected platelet concentrates.

#### Summary of the Invention

The present invention provides a method and device for removing platelets from a platelet contaminated blood fraction (eg leukocyte contaminated platelet-rich plasma or leukocyte contaminated platelet concentrate) through the use of a rotating membrane filter apparatus. The method/device of the present invention may be combined with prior art devices and methods to arrive at a three-stage process for obtaining substantially leukocyte free platelet concentrate from whole blood.

In accordance with the invention, there is provided a leukocytes from a extracting method contaminated blood fraction, said method comprising the passage of said leukocyte contaminated blood fraction through a rotating membrane filter having a multiplicity of pores formed therein. The pores of the rotating membrane permit to sufficiently large therethrough of plasma and platelets but sufficiently small to prevent passage therethrough of leukocytes. The membrane filter is rotated during the filtration process to

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improve efficiency and prevent clogging of the membrane pores.

Further in accordance with the invention, there is provided a three-staged process for obtaining leukocyte depleted platelet concentrate (LDPC) from whole blood. first stage of the process comprises the centrifugal separation of platelet-rich plasma from the whole blood. The second stage of the process comprises the extraction of a quantity of cell free plasma from the platelet-rich plasma obtained in Stage 1. Such results in the production of a leukocyte contaminated platelet concentrate (LCPC) having a desired concentration of platelets therein. Such second stage of the process may be accomplished by way of a rotating membrane filter apparatus having membrane pores sized less than 1 micron. The third stage of the process 15 comprises the separation of leukocytes from the leukocyte contaminated platelet concentrate (LCPC) to yield a desired leukocyte depleted platelet concentrate (LDPC). Such third stage of the process may be carried out by a rotating membrane filter apparatus having membrane pores of 20 approximately 3.0-3.5 microns in size.

Further in accordance with the invention, there is provided an alternate three-stage process for obtaining leukocyte depleted platelet concentrate (LDPC) from whole blood. The first stage of such alternate process comprises the centrifugal separation of leukocyte contaminated platelet-rich plasma from whole blood. The second stage of such alternate process comprises the removal of leukocytes from the leukocyte contaminated platelet-rich plasma generated in stage 1 to yield a leukocyte depleted platelet-rich plasma (LDPRP). Such removal of leukocytes from the leukocyte contaminated platelet-rich plasma may be effected by way of a rotating membrane filter apparatus having pores of approximately 3.0-3.5 microns formed therein. The third stage of such alternate process

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comprises the removal of a quantity substantially cell free plasma from the leukocyte contaminated platelet-rich plasma (LCPRP) obtained in stage 2, thereby yielding a leukocyte depleted platelet concentrate (LDPC) having a desired concentration of platelets therein.

Further in accordance with the invention, there is provided a rotating membrane filter apparatus for removing leukocytes from a leukocyte contaminated blood fraction (eg leukocyte contaminated platelet-rich plasma or leukocyte contaminated platelet concentrate). Such rotating membrane separation apparatus comprises a rigid outer housing having a rotatable membrane filter element positioned therein. Inflow and outflow ports are formed in the housing to facilitate inflow of the platelet contaminated blood fraction thereinto and outflow of a) the filtered platelets and b) the leukocyte depleted blood fraction, therefrom. The rotating membrane filter element of the apparatus comprises a membrane having pores of approximately 3.0-3.5 The rotatable membrane microns in size formed therein. filter element is preferably rotatable at 1600-1800 r.p.m. 20 The rigid outer housing of the apparatus is preferably sized, relative to the rotatable membrane filter element, such that an optimal gap width is formed therebetween for passage of the unfiltered material thereinto. Such optimal gap width is preferably 0.020-0.30 inches in width.

Still further in accordance with the invention, there are provided tubing component sets for use in connection with automated apheresis instruments to carry out the process of the present invention.

objects, advantages and elements the Further invention will become apparent to those skilled in the art upon reading and understanding of the following detailed description and the accompanying drawings to which such detailed description refers.

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## Brief Description of the Drawings

Figure 1 is a schematic showing of the apparatus and method of the present invention.

Figure 2a is a perspective view of an automated apheresis instrument adapted and outfitted to carry out the first stage of a process of the present invention.

Figure 2b is a perspective view of an automated apheresis instrument adapted and outfitted to carry out the second stage of a process of the present invention.

10 Figure 2c is a perspective view of an automated apheresis instrument adapted and outfitted to carry out the third stage of a process of the present invention.

Figure 3a is a cut-a-way perspective view of a rotating whole blood separation device separating platelet-rich plasma from whole blood in accordance with the process of the present invention.

Figure 3b is a longitudinal sectional view of the device of Figure 3a.

rigure 3c is a cross-sectional view through line 3c-3c of Figure 3a.

Figure 4a is a cut-a-way perspective view of a rotating membrane filter device useable with a pore size membrane to prepare platelet concentrate from platelet-rich plasma in accordance with Stage 1 or 2 of the process of the present invention and also useable with a pore size membrane to prepare platelet concentrate in accordance with Stage 2 or 3 of the process of the present invention.

Figure 4b is a cross-sectional through line 4b-4b of Figure 4a.

## Detailed Description of Preferred Embodiments

The detailed description set forth below, and the showings set forth in the accompanying drawings, are intended merely to describe and illustrate certain presently preferred embodiments of the invention, and are not intended to represent the only form in which the

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present invention may be constructed or utilized. It is to be understood that the same or equivalent functions and sequences may be accomplished by different embodiments that are also within the spirit and scope of the present invention.

#### A. The Process of the Invention

Referring to Figure 1, a preferred embodiment of the process of the present invention comprises three stages, as described more fully herebelow.

#### i. Stage 1 of the Process

Stage 1 of the process shown in Figure 1 comprises the separation of platelet-rich plasma (PRP) from whole blood. In the embodiment shown in Figure 1, a venipuncture needle 20 is inserted into an appropriate blood vessel and blood is withdrawn through venipuncture needle 20 and through blood tube 24 into the left chamber 32 of reservoir 22. An anticoagulant container 26 is attached to blood tube 24 by way of anticoagulant tube 28. A metering pump, specific drip rate, adjustable pressure exerting means or other metering device is utilized to deliver a metered amount of anticoagulant from anticoagulant container 26 into the blood being withdrawn through blood tube 24.

Reservoir 22 is divided into a pair of side by side compartments 30, 32. Whole blood is withdrawn into left chamber 32 of reservoir 22 through blood tube 24. Blood exits chamber 32 through tube member 52, and passes through tube 52 into the inlet port 42 of whole blood separator apparatus 44.

Whole blood separator apparatus 44 comprises a rotating centrifugal separator apparatus capable of separating whole blood into (a) a platelet-rich plasma fraction and (b) a cell concentrate fraction. A presently preferred whole blood separator apparatus 44 useable in the present invention is specifically shown in Figure 3 and is specifically described in detail herebelow.

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Supernatant platelet-rich plasma (PRP) is separated from the remaining constituents of the whole blood and the PRP exits separator apparatus 44 through PRP outlet port 46 and passes through PRP tube 48 into PRP container 50.

optionally, the color or hemoglobin content of the platelet-rich plasma (PRP) may be monitored or measured prior to entry into the PRP container 50 to discern the presence of red blood cells. If such optional monitoring of color or Hb content indicates an unacceptably high red cell content, the platelet rich plasma (PRP) may be diverted back into the left chamber 32 of reservoir 22 to undergo repeated separation.

The cell concentrate (CC) component of the whole blood exits separator apparatus 44 through cell concentrate outlet port 40 and passes through cell concentrate outlet tube 53 into the right chamber 30 of reservoir 22.

Withdrawal of whole blood through blood tube 24 is preferably cycled or periodically interrupted to permit cell concentrate which has become collected in the right chamber 30 to be periodically pumped or otherwise passed from the right chamber 30 of reservoir 22, through draw tube 36, through infusion tube 56, through the distal portion of blood tube 24, and back into the patient's blood vessel through venipuncture needle 20.

The whole blood separation apparatus 44 of Stage 1 may comprise a rotating centrifugal separator capable of separating platelet-rich plasma (PRP) from whole blood. The term Platelet-Rich Plasma, as used in this specification, shall mean blood plasma having both platelets and white blood cells contained therein. Typically, the platelet-rich plasma (PRP) will contain platelets in the range of  $4\times10^8 - 6\times10^8/\text{ml}$  and leukocytes in the range of  $2\times10^5 - 2\times10^6/\text{ml}$ .

#### ii. Stage 2 of the Process

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platelet-rich plasma (PRP) collected in platelet-rich plasma container 50 is subsequently pumped or otherwise withdrawn through platelet-rich plasma tube 58 platelet-rich plasma separator apparatus Platelet-rich plasma separator apparatus 60 may comprise any type of separator apparatus capable of separating platelet-rich plasma into (a) a platelet concentrate component and (b) a cell free plasma component. preferred platelet-rich presently plasma 10 apparatus 60 useable in conjunction with the present invention is shown in Figures 3a, 3b, 3c and described in detail herebelow. Cell free plasma exits the platelet-rich plasma separator 60 through cell free plasma outlet port 64 15 and passes through cell free plasma tube 66 into cell free plasma container 68. Platelet concentrate passes (PC) out of platelet-rich plasma separator apparatus 60 through platelet concentrate outlet port 70, through platelet concentrate tube 72 and into platelet concentrate container platelet concentrate contains at 20 contaminating quantities of leukocytes which are subject to removal during Stage 3 of the process.

Optionally, as indicated by the dotted lines on Figure 1, the leukocyte contaminated platelet concentrate exiting the separator apparatus 60 in Stage 2 of the process may flow from line 72 back into the platelet-rich plasma container 50 so as to provide for a recirculating process. In such recirculating process the leukocyte containing platelet concentrate will mix with any remaining platelet-rich plasma contained in container 50 and will be recirculated through separator apparatus 60 via line 58. Such recirculation will continue until the desired amount of cell free plasma has been collected in container 68 and/or until the mixture of platelet-rich plasma and

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leukocyte contaminated platelet concentrate contained within container 50 has reached a desired quantity.

#### iii. Stage 3 of the Process

In accordance with Stage 3 of the process, leukocyte contaminated platelet concentrate (LCPC) is pumped or otherwise withdrawn from platelet concentrate container 74 through platelet concentrate tube 92 and into a platelet Platelet 60a. apparatus separator concentrate/WBC concentrate/WBC separator apparatus 60a may comprise any separator apparatus capable of separating leukocytes from 10 leukocyte contaminated platelet concentrate (LCPC) to yield a quantity of leukocyte depleted platelet concentrate (LDPC) .

Leukocyte contaminated platelet concentrate (LCPC) withdrawn through platelet concentrate tube 92 and into the platelet concentrate/WBC separator apparatus 60a through PC Leukocyte depleted platelet concentrate inlet port 82. exits the platelet concentrate/WBC apparatus 60a, through LCPC outlet port 84, passes through LDPC tube 86, and into LCPC container 88. The unfiltered leukocytes exits the containing plasma through 60a separator apparatus concentrate/WBC recirculation outlet port 214a. Such leukocyte containing unfiltered plasma then passes through return line 90a and, back into platelet concentrate container 50a. 25 leukocyte containing unfiltered plasma is then recirculated through the PC/WBC separator apparatus 60a in the manner shown.

#### B. Preferred Devices of the Present Invention

#### i. A Preferred Centrifugal Separator Apparatus Useable In Stage 1

Figure 3 shows a presently preferred whole blood separator apparatus 44A for separating whole blood into a) a platelet-rich plasma fraction and b) a cell concentrate fraction. The preferred whole blood separator apparatus

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44A is fully described and shown in United States Patent No. 4,944,883 (Schoendorfer, et al.) and available commercially under the name PLATELETCELL™ from Baxter Healthcare Corporation, Fenwal Division, Deerfield, Illinois.

The entire disclosure of United States Patent No. 4,944,883 (Schoendorfer, et al.) is expressly incorporated herein by reference as if completely set forth in its entirety in this application.

10 In summary, the preferred whole blood separator apparatus 44A comprises an outer housing or shell 100 of generally cylindrical configuration on a generally vertical axis. A whole blood inlet port 102 is formed near the bottom of housing 100 and comprises a tubular member positioned tangentially proximate the housing 100. A cell concentrate outlet port 112 is formed near the top end of housing 100 and comprises a hollow tubular member which extends tangentially to the housing 100.

A double walled rotor 108 is rotatably mounted within housing 100 and is connected, by way of rotatable shaft 110, to a magnetic drive unit 109 positioned at the top of the whole blood separator apparatus 44A. When subjected to a rotating magnetic field, the magnetic drive unit 109 rotationally drives rotor 108 at a desired rotational rate, preferably in the range 2,000-3,800 r.p.m. and most preferably at approximately 3,600 r.p.m.

The double walled rotor 108 spans the axial length between the blood inlet port 102 and the cell concentrate outlet port 112. Such double walled rotor 108 includes an inner cylindrical core 114 having a substantially continuous surface except for circumferentially disposed platelet concentrate ports 116 formed near its upper end. Above platelet concentrate ports 116 the rotor 108 includes an outwardly tapered or divergent wall portion 118. Similarly, at the bottom end of rotor 108 there is an

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outwardly tapered basal portion 120 of enlarged diameter. The upper portion 118, basal portion 120 and principal midportion 106 of rotor 108 form the inner core of the rotor 108. The space 122 between the outer surface of the inner core of rotor 108 and the outer wall 124 thereof forms a centrifugation zone within the rotor 108.

It is preferable that the platelet-rich plasma ports 116 formed in rotor 108 be of rectangular shape approximately 0.035 inches wide by 0.075 inches high.

A recirculation flow gap 104 exists between the outer surface of the rotor outer wall 124 and the inner surface 10 In the preferred of the cylindrical housing 100. embodiment, such recirculation gap 104 is approximately 0.006 inches wide along the majority of its length. re-circulation flow gap 104 may be made wider at the upper and lower ends thereof, adjacent the whole blood inlet port 15 102 and cell concentrate outlet port 112, to facilitate flow into and out of ports 102, 112. Such widening of the recirculation flow gap 104 at the upper and lower ends thereof may be accomplished by decreasing the diameter of the rotor 108 at the upper and lower ends thereof so as to 20 result in a widening of the recirculation gap 104 adjacent such region(s) of decreased diameter.

The centrifugation zone 122 is in fluid communication with the recirculation gap 104 through blood inlet ports 132 formed at the base thereof. Such blood inlet ports 132 are preferably positioned at 0.785 inches radius from the central axis of the rotor 108.

Blood outlet ports 134 are formed at spaced intervals around the top end of the rotor 108, and also form fluid passageways between the centrifugation zone 122 and the recirculation gap 104. Such blood outlet ports 132 are preferably spaced at a greater radial distance from the central axis of the rotor 108 then are the blood inlet ports 132. In the preferred embodiment shown, such blood

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outlet ports 132 are spaced at 0.889 inches radius from the central axis of the rotor 108.

The difference in radial distance of the blood inlet ports 132 and blood outlet ports 134 from the central axis of the rotor 108 result in substantially greater rotational velocity at the outlet ports 134 then at the inlet ports 132, thereby causing a substantial force in the upward direction on blood within the centrifugation zone 122. Blood exiting outlet ports 132 will flow out of cell concentrate port 112 and/or may be recirculated downwardly through recirculation zone 104 to the base of the housing 100 whereat such recirculated blood may, once again, reenter the centrifugation zone 122 through blood inlet ports 132.

15 In operation, whole blood is pumped at substantially continuous pressure into blood inlet 102 and a rotating magnetic force is applied to magnetic drive unit 109, thereby causing rotor 108 to rotate at a constant speed, preferably of about 3600 r.p.m. Blood platelets and a portion of the blood plasma will drain inwardly through 20 platelet-rich plasma ports 116 and downwardly through tube 110 so as to exit the housing 100 through the platelet-rich plasma outlet port 130. The remaining blood plasma and cellular constituents ("cell concentrate") passes outwardly through blood outlet ports 132 and either exits the housing 25 through cell concentrate port 112 or is recirculated downwardly through recirculation gap 104 whereat combines with additional entering whole blood and is again subjected to passage through the centrifugation zone 122.

In normal operation, the cell concentrate exiting the cell concentrate outlet port enters a pooling vessel or container whereat it is mixed with entering whole blood, thereby providing for repeated recirculation of blood through the whole blood separator apparatus 44A until the

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desired amount of platelet-rich plasma has been extracted from a known volume of blood.

Further and more detailed explanation of the operational variables and functioning of the preferred whole blood separator apparatus 44A is fully described and illustrated in United States Patent No. 4,944,883 (Schoendorfer, et al.) which is expressly incorporated herein by reference.

## ii. A Preferred Rotating Membrane Separator Device Useable In Stages 2 and 3 Of The Invention

The separation of cell free plasma from leukocyte contaminated platelet concentrate and the separation of leukocytes from the platelet concentrate as carried out in Stages 2 and 3 of the present invention may be accomplished by a rotating membrane separator of the type described in United States Patent No. 5,034,135 (Fischel) and available commercially under the name PLASMACELL<sup>M</sup> from Baxter Healthcare Corporation, Fenwal Division, Deerfield, Illinois.

The entire disclosure, including the drawings, of United States Patent No. 5,034,135 (Fischel) is expressly incorporated herein by reference as if fully set forth, verbatim, in this patent application.

It will be appreciated that, although the same rotating membrane filter device may be utilized in both Stages 2 and 3 of the process of the present invention, the thickness and pore size of the membrane within such device will differ between Stages 2 and 3 of invention as described more fully herebelow.

Figures 5 and 6 of this application show the presently preferred rotating membrane separator device 60 useable in Stages 2 and 3 of the process of the present invention. In summary, this preferred rotating membrane separator device 60c comprises an outer cylindrical wall or housing 200 having an inner wall surface 202. A cylindrical rotor or spinner is rotationally mounted within housing 200. The

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outer surface of spinner 204 is provided with corrugations or scallops 206, as shown. A microporous membrane 208 is mounted on and carried by rotor 204. A space or gap 210 exists between the inner surface 202 of the housing 200 and the outer surface of the microporous membrane 208. In the embodiment shown, the width of gap 210 is in the range 0.020-0.030 and preferably about 0.023 inches, length of gap 210 is preferably 2.5-3.5 and preferably about 3 inches.

Inlet port 212 and outlet port 214 are fluidly communicative with gap 210. In the embodiment shown, the inlet port 212 comprises a tubular member positioned tangentially to the housing 200 near the bottom of the device 60 while the outlet port 214 comprises a tubular member positioned tangentially to the housing 200 near the top portion thereof.

The inlet port 212 and outlet port 214 may be inverted (such that the inlet is at the top and the outlet is at the bottom) without diminishing the efficiency of the filtration provided that the direction of the rotation of rotor 204 is correspondingly changed.

A magnetic drive unit 216 positioned within the top of the rotor 204 causes the rotor 204 to spin when driven by a rotating magnetic field.

25 Filtrate inlet apertures 2, 20 are formed through the opposite sides of the bottom portion of the rotor 204 and lead into manifold passageways 222. Manifold passageways 222 are fluidly communicative with a central tube 224 whereby filtrate entering apertures 220 may pass inwardly through manifold 222 and drain downwardly through tube 224 and out of filtrate outlet 226.

In operation, the rotor 204 is rotated at a speed of 1500-2000 r.p.m. and preferably about 1600-1800 r.p.m. while the blood fraction to be filtered is infused under constant pressure through inlet 212, filling gap 210.

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Rotation of the membrane-carrying spinner 204 creates movement of the fluid within gap 210. This movement, which takes the form of vortices, technically known as "Taylor Vortices", induces transport of non-filterable cellular material away from membrane 208 while filterable material or liquid plasma passes through the pores of microporous filter 208, drains downwardly through channels 226, inwardly through apertures 220 and manifolds 222 and subsequently drains out of housing through filtrate outlet 226.

Unfiltered material which exits the housing through outlet port 214 may be subsequently recirculated through inlet 212 so as to provide for repeated passage of such unfiltered material over filter 208, thereby optimizing extraction of the desired filtrate therefrom.

## a. Equipping The Preferred Rotating Membrane Separator Device To Carry Out

#### Stage 2 Of The Process

In Stage 2 of the process of the present invention, it is necessary to extract a quantity of substantially cell 20 free plasma from platelet-rich plasma (PRP) to yield a quantity of leukocyte contaminated platelet concentrate (LCPC) .

To perform the desired separation of Stage 2, the microporous filter 208 of the rotating membrane separator device 60a comprises a plastic film membrane preferably of nylon, having a thickness of approximately 150 microns and having pores of less than 1.0 micron, and preferably of approximately 0.8 micron, formed therein. Such pore sizes below 1 micron, and preferably approximately 0.8 micron, 30 will filter only cell free liquid plasma therethrough. Leukocytes typically range in size from 5-14 microns and platelets typically range in size from 2-3 microns. both leukocytes and platelets are typically too large to pass through membrane pores of less than 1 micron. As a

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result, a portion of the liquid plasma will pass through the 1 micron membrane pores but platelets and leukocytes (along with some remaining plasma) will comprise the unfiltered material and will exit the rotating membrane filter device 60A through the outlet port 214. Repeated passes through the filtration apparatus may be made until a desired platelet concentration (eg. 1.5-1.8 million platelets per microliter of plasma) is contained in the unfiltered residual material.

The cell free plasma filtrate which passes through the microporous membrane 208 will exit the rotating membrane filter device 60A through filtrate outlet 226.

The rotational velocity of the membrane 208 is maintained at a level that induces sheer high enough to maximize separation efficiency but not so high as to damage or activate the platelets. Typically, rotation rates of approximately 1600-1700 r.p.m. are utilized in Stage 2.

Transmembrane pressures may be controlled or optimized by varying the infusion rate of the platelet-rich plasma (PRP) through inlet 212. Infusion rates of approximately 80-120 ml/min are typically useable.

# ii. Equipping The Rotating Membrane Filter Device To Carry Out In Stage 3 Of The Process

In Stage 3 of the process of the present invention, it is necessary to separate leukocytes from the leukocyte contaminated platelet concentrate (LCPC) to yield a desired leukocyte depleted platelet concentrate (LCPC).

To perform the desired separation of Stage 3, the microporous membrane 208 of the rotating membrane filter device 60B preferably comprises a plastic film membrane preferably of polycarbonate, having a thickness of 10 microns and having pores of 3.0-3.5 microns, and preferably approximately 3.0 microns, formed therein. Such pore size of 3.0-3.5 micron allows the lighter and smaller platelets,

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along with the liquid plasma, to pass through the membrane while effectively excluding the larger leukocytes.

Rotational velocity of the membrane 208 is maintained at a level that induces sheer high enough to maximize separation efficiency but not so high as to damage or activate the platelets. Typically, rotation rates of approximately 1600-1700 r.p.m. are utilized in Stage 3.

In the preferred embodiment shown, the actual filtration area of the membrane 208 is approximately 70 sq. cm.

The pore density of the microporous membrane 208 is preferably approximately 2 x 10<sup>6</sup> pores/sq. cm. One example of a commercially available polycarbonate membrane having a thickness of approximately 10 microns and having pores of approximately 3 micron size, at a density of 2 x 10<sup>6</sup> pores/sq. cm., is that commercially available from Costar-Nucleopore Corp., Pleasanton, CA.

Transmembrane pressure may be controlled or optimized by varying the infusion rate of the leukocyte contaminated platelet concentrate (LCPC) through inlet 212. Infusion rates of approximately 80 ml/min are typically useable.

# C. Preferred Tubing/Component Systems For Preparing LDPC Using Automated Apheresis Instrumentation

Figures 2a, 2b and 2c show automated apheresis instruments, tubing harnesses and components which are useable to sequentially effect the three stage process of the present invention.

The particular apheresis instrument shown in Figures 2a-2c comprises a microprocessor controlled instrument of the type available commercially as the AUTOPHERESIS-C® PLASMAPHERESIS SYSTEM, Baxter Healthcare Corporation, Fenwal Division, Deerfield, Illinois 60015.

The apheresis instrument used for Stages 1, 2 and 3 of the process of the present invention comprises a

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microprocessor controlled, automated system having a housing 250,

The automated apheresis instrument is initially utilized in conjunction with a first tubing/component set. first tubing/component set is provided with a single venepuncture needle 20a for alternately receiving whole blood from a donor and reinfusing packed cells into the The venepuncture needle 20a is connected to blood line 24a. Blood line 24a passes through pump P2 and into 10 the left chamber 32 of reservoir 22a. An anticoagulant line 25a is attachable to a bag or container anticoagulant (AC) at one end and is joined with bloodline 24a at the other end. Anticoagulant line 25a passes through pump P1 such that pump P1 may be utilized to metered amount of anticoagulant through 15 deliver anticoagulant line 25a into the flow of blood being withdrawn through bloodline 24a.

A second bloodline 38a passes out of an outlet port positioned at the bottom of the left chamber 32 of reservoir 22a, through pump P3 and into the whole blood inlet port 42a of whole blood separator apparatus 44a. Pump P3 is utilized to pump controlled amounts of blood through second bloodline 38a into whole blood separator apparatus 44a.

Whole blood separator apparatus 44a is rotationally driven by the magnetic drive apparatus 254 of the automated apheresis instrument 250.

Platelet-rich plasma (containing leukocytes) exits whole blood separator apparatus 44a through platelet-rich plasma outlet port 46a and passes downwardly through platelet-rich plasma line 48a and through hemoglobin detector 252. If a significant level of hemoglobin is detected as would indicate the presence of undesirable red blood cell contamination, clamp C4 will be closed and clamp C3 will be open, thereby shunting the flow of platelet-rich plasma

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from line 48a, through return line 256 and once again into left chamber 232 of reservoir 22a whereat such platelet-rich plasma will be combined with withdrawn whole blood and recirculated through the whole blood separator apparatus 44a to remove such contaminating red blood cells.

If the hemoglobin detector 252 detects substantially no hemoglobin (i.e. less than 5mg/dl), clamp C3 will be closed, and clamp C4 will be open, thereby allowing the flow of platelet-rich plasma to continue through line 48a and into the platelet-rich plasma container 50a.

Cell concentrate exits the whole blood separation apparatus 44a through cell concentrate outlet port 40a and is pumped by pump P4 through line 38a into the right chamber 30a of reservoir 22a. Upon pooling of an adequate amount of cell concentrate in chamber 30a, the instrument 250 will switch a reinfusion cycle, clamp C1 will be closed, clamp C2 will be open and pump P2 will operate to pump cell concentrate from chamber 30a, through return line 36a into bloodline 24a and through needle 20a into the thereby accomplishing vasculature the patient, of reinfusion of the unharvested cellular constituents of the The timing of the alternate withdrawal collection cycles and the overall timing of the plateletrich plasma collection is controlled by way of sensors (not shown) on the instrument 250 which detect the quantities of 25 fluids in the right and left chambers, 30, 32 of the reservoir 22a and the quantity of platelet-rich plasma collected in the PRP container 50a. Information generated by such sensors is provided to the microprocessor of the instrument 250 wherefrom responsive signals are emanated to 30 cause the instrument to cycle or change from its blood collection cycle to reinfusion cycle in the appropriate manner. It will be appreciated that during the alternate collection reinfusion cycles, whole and continuously pumped from reservoir compartment 32a to whole 35

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P3, whereby blood separator apparatus 44a by pump separation is effected continuously. Thus, platelet-rich plasma flows continuously from whole blood separator although the instrument 250 may apparatus 44a simultaneously be cycling between withdrawal and reinfusion cycles.

When the desired quantity of platelet-rich plasma (PRP) has been collected in platelet-rich plasma container 50a, the microprocessor of the instrument 250 will terminate the collection period and will cause the instrument to undergo a purge cycle whereby residual blood and/or blood products are purged from the first tubing/component set disposed on the instrument 250.

After the first tubing/component set has been purged of residual blood and/or blood products, the first tubing/component set is removed from the face of the instrument 250 and a second tubing/component set is applied to the instrument 250 to conduct stage 2 of the process, as shown in Figure 2b.

to Figure 2b. the second With reference 20 tubing/component set utilized to conduct Stage 2 of the process is provided with a rotating membrane separator apparatus 60a capable of separating cell free plasma from the platelet-rich plasma collected in Stage 1 of the thereby providing a quantity of leukocyte 25 contaminated platelet concentrate for subsequent leukocyte depletion.

Platelet-rich plasma line 58a fluidly connects the platelet-rich plasma container 50 a to the inlet port 62a of rotating membrane separator 60a. Pump P3 is utilized to pump a flow of platelet-rich plasma from platelet-rich plasma container 50a through line 58a into the inlet port 62a of rotating membrane separator apparatus 60a. Leukocyte contaminated platelet concentrate (LCPC) exits the rotating membrane separator apparatus 60a through

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outlet port 70a and is pumped by pump P4 through line 72a back into platelet-rich plasma container 50a, thereby mixing with any remaining platelet-rich plasma in the platelet-rich plasma container 50a.

The substantially cell free plasma filtrate exits the rotating membrane separator apparatus 60a through filtrate outlet port 64a and passes through line 66a into cell free plasma container 68a.

This second stage of the processes is continued until a desired amount of substantially cell free plasma has been collected in cell free plasma container 68a and a corresponding amount of leukocyte contaminated platelet concentrate has replaced the original platelet-rich plasma in container 50a.

When such endpoint has been reached, the instrument 250 will terminate the second stage of the procedure and the second tubing/component set will be removed from the face of the instrument 250 and replaced with a third tubing/component set as shown in Figure 2c.

The third tubing/component set positioned on instrument 250 in Figure 2c includes the preferred rotating membrane separator apparatus 60a of the present invention equipped with a membrane having pores of approximately 3.0 microns such that relatively small platelets will pass through such 3.0 micron pores while the relatively large leukocytes will be excluded by such 3.0 micron pores. leukocyte contaminated platelet concentrate contained within container 50a is pumped out of the bottom of container 50a, through line 92a, by pump P3 and into the inlet port 82a of rotating membrane filter apparatus 60a. Leukocyte depleted platelet concentrate will exit rotating membrane filter apparatus 60a through filtrate outlet port 64a and will pass through line 86a into leukocyte depleted platelet concentrate container 88a. Leukocyte concentrate will pass out of outlet port 90a of rotating membrane

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filter apparatus 60a and will be pumped by pump P4 through return line 92 into container 50a where it will mix with any remaining leukocyte contaminated platelet concentrate. Such recirculating process will continue until such time as a desired target amount of leukocyte depleted platelet 5 88a. container obtained in been has concentrate Thereafter, the instrument 250 will signal a shut-down procedure whereby pumps P3 and P4 will be stopped, and rotation of the rotating membrane filter apparatus 60a will be terminated. Thereafter, the container 88a of leukocyte depleted platelet concentrate may be separated from the 10 third tubing/component set and subjected to standard blood banking procedures in preparation for transfusion of such leukocyte depleted platelet concentrate (LDPC) to a recipient patient. 15

It will be appreciated that the first, second and third tubing/component sets may be initially interconnected and unitarily packaged such that each of the first, second and third tubing/component sets may be individually deployed and mounted on the face of the instrument 250 while the remaining non-deployed tubing/component sets remain in a collapsed or folded configuration for subsequent use. In such embodiments, after each of the first, second and third tubing/component sets has been deployed and used, such used tubing/component set may be cut off of or otherwise separated from the remaining tubing/component sets, thereby separating the soiled used tubing/component set from the remaining clean unused tubing/component sets.

Additionally, although the invention has been described herein with the second stage of the invention comprising the volume reduction of the leukocyte contaminated platelet-rich plasma to LCPC and the third stage comprising the removal of leukocytes to form the desired LDPC, such second and third stages of the invention may be positionally inverted such that the second stage actually

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comprises the above-described third stage and the third stage actually comprises the above-described second stage. In such embodiments, the second stage of the invention will the leukocytes from of removal Thereafter, the third contaminated platelet-rich plasma. stage of the invention will effect the reduction in volume of the leukocyte depleted platelet-rich plasma to form the desired leukocyte depleted platelet concentrate.

It will be appreciated that the invention has been described herein with reference to certain presently The detailed preferred embodiments of the invention. description and drawings setting forth these presently preferred embodiments of the invention are not intended to describe or show the only means by which the present In fact, numerous other invention may be effected. embodiments and devices for effecting the invention will be apparent to those skilled in the art upon reading and understanding of the description set forth in this patent Accordingly, it is intended that all such application. other embodiments and devices for the effecting the invention be included within the scope of the following 20 claims and/or the equivalents thereof.

#### WHAT IS CLAIMED IS:

- 1. A method of preparing substantially leukocyte free platelet concentrate from leukocyte contaminated platelet concentrate, said method comprising the steps of:
- (a) providing a rotatable cylindrical membrane filter having a multiplicity of pores formed therein, said pores being sufficiently large to permit passage therethrough of leukocyte depleted platelet concentrate sufficiently small to prevent passage therethrough of
- 10 leukocytes;
  - (b) rotating said cylindrical membrane filter;
  - (c)passing said leukocyte contaminated platelet concentrate onto said cylindrical membrane filter during said rotation thereof; and
- (d) collecting the leukocyte depleted platelet concentrate which passes through the pores of said rotating membrane filter.
  - 2. The method of Claim 1 wherein step (a) further comprises:
- providing a rotating cylindrical membrane filter having a multiplicity of pores formed therein, said pores being less than 3.5 microns in size.
  - 3. The method of Claim 1 wherein step (a) further comprises:
- 25 providing a rotating cylindrical membrane having a multiplicity of pores formed therein, said pores being approximately 3.0-3.5 microns in size.
  - 4. The method of Claim 1 wherein step (a) further comprises:
- providing a rotating cylindrical membrane filter having a multiplicity of pores formed therein, said pores being approximately 3.0 microns in size.
  - 5. The method of Claim 1 wherein step (c) further comprises:

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passing said platelet concentrate onto said rotating cylindrical membrane at a rate of approximately 80-120 ml/min.

6. The method of Claim 1 wherein step (b) further 5 comprises:

rotating said cylindrical membrane at a rate sufficient to prevent the pores of said membrane from being clogged.

7. The method of Claim 1 wherein step (b) further
10 comprises:

rotating said cylindrical membrane at a rate of approximately 1600-1800 r.p.m.

- 8. The method of Claim 1 wherein step (c) is carried out repeatedly until a desired reduction in the leukocyte content of the platelet concentrate has been effected.
- 9. The method of Claim 1 wherein step (c) is repeated successively three to four times.
- 10. A method of preparing substantially leukocyte free platelet-rich plasma from leukocyte contaminated platelet-rich plasma, said method comprising the steps of:
  - a) providing a rotatable cylindrical membrane filter having a multiplicity of pores formed therein, said pores being sufficiently large to permit passage therethrough of leukocyte free platelet-rich plasma, and sufficiently small to prevent passage therethrough of leukocytes;
  - b) rotating said cylindrical membrane filter;
- c) passing said leukocyte contaminated platelet-rich plasma onto said cylindrical membrane filter during said rotation thereof; and
  - d) collecting the leukocyte depleted platelet-rich plasma which passes through the pores of said rotating membrane filter.
- 11. The method of Claim 10 wherein step (a) further 35 comprises:

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providing a rotating cylindrical membrane filter having a multiplicity of pores formed therein, said pores being less than 3.5 microns in size.

12. The method of Claim 10 wherein step (a) further 5 comprises:

providing a rotating cylindrical membrane having a multiplicity of pores formed therein, said pores being approximately 3.0-3.5 microns in size.

13. The method of Claim 10 wherein step (a) further 10 comprises:

providing a rotating cylindrical membrane filter having a multiplicity of pores formed therein, said pores being approximately 3.0 microns in size.

- 14. The method of Claim 10 wherein step (c) further 15 comprises:
  - passing said platelet concentrate onto said rotating cylindrical membrane at a rate of approximately 80-120 ml/min.
- 15. The method of Claim 10 wherein step (b) further 20 comprises:

rotating said cylindrical membrane at a rate sufficient to prevent the pores of said membrane from being clogged.

16. The method of Claim 10 wherein step (b) further 25 comprises:

rotating said cylindrical membrane at a rate of approximately 1600-1800 r.p.m.

- 17. The method of Claim 10 wherein step (c) is carried out repeatedly until a desired reduction in the leukocyte content of the platelet concentrate has been effected.
- 18. The method of Claim 10 wherein step (c) is repeated successively three to four times.
- 19. A method for obtaining leukocyte depleted platelet concentrate from whole blood, said method comprising the steps of:

- (a) extracting whole blood from a blood vessel of a mammal;
- (b) passing said whole blood through a first separation apparatus whereby said whole blood is separated into i)
- a platelet-rich plasma constituent and ii. a cell concentrate constituent;
  - (c) passing the platelet-rich plasma constituent obtained in step (b) through a second separation apparatus whereby said platelet-rich plasma constituent
- is separated into i) substantially cell-free plasma and ii) leukocyte contaminated platelet concentrate;
  - (d) passing the leukocyte contaminated platelet concentrate obtained in step (c) through a third separation apparatus, said third separation apparatus
- comprising a rotating cylindrical membrane filter having a multiplicity of pores formed therein, said pores being large enough to permit passage of platelets and plasma therethrough, but small enough to prevent passage of leukocytes therethrough.
- 20 20. The method of Claim 19 further comprising the step of: re-infusing the cell concentrate constituent obtained in step (b) into a blood vessel of said mammal.
  - 21. The method of Claim 19 wherein step (b) further comprises:
- passing said whole blood through a rotating centrifugal separation apparatus operative to centrifugally separate platelet-rich plasma from whole blood.
  - 22. The method of Claim 19 wherein step (c) further comprises:
- passing said platelet-rich plasma constituent through a second separation apparatus comprising a rotating membrane filter having pores of approximately 0.8 micron formed therein, said second separation apparatus being thereby operative to separate said platelet-rich plasma constituent into i) a quantity of substantially cell

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free plasma and ii) a quantity of leukocyte contaminated platelet concentrate.

- 23. The method Claim 1 wherein step (d) further comprises: maintaining the rate of rotation within the range of approximately 1600-1800 r.p.m.
- 24. The method of Claim 19 wherein step (d) further comprises:

passing said leukocyte contaminated platelet concentrate through a third separation apparatus comprising a rotating cylindrical membrane filter having a filtration area of approximately 70 sq. cm and having pores of approximately 3.0-3.5 microns in size formed therein, said leukocyte contaminated platelet concentrate being passed through said rotating cylindrical membrane filter at a rate of approximately 80-120 ml/min.

25. The method of Claim 19 further comprising the steps of:

monitoring the hemoglobin content of the platelet-rich plasma constituent obtained in Step (d); and

- if said hemoglobin level of said platelet-rich plasma constituent exceeds a maximum acceptable level, perform the additional step of recirculating said platelet-rich plasma constituent through said first separation apparatus to effect further removal of aberrant red blood cells therefrom.
  - 26. A device for obtaining leukocyte depleted platelet concentrate from leukocyte contaminated platelet concentrate, said device comprising:
- a rotating cylindrical membrane filter having a multiplicity of pores formed therein, said pores being large enough to permit passage of plasma and platelets therethrough, but small enough to prevent passage of leukocytes therethrough;
- a drive apparatus for causing rotation of said cylindrical membrane filter;

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- a first inlet flow path for passing said leukocyte contaminated platelet concentrate onto said rotating cylindrical membrane filter;
- a first outlet flow path for removing residual plasma
  and leukocytes which have not passed through the pores
  of said membrane; and
  - a second outlet flow path for removing leukocyte depleted platelet concentrate which has passed through the pores of said membrane.
- 10 27. The device of Claim 25 wherein the multiplicity of pores formed in said membranes are less than 3.5 microns in
  - size.

    28. The device of Claim 25 wherein the multiplicity of pores formed in said membrane are 3.0-3.5 microns in size.
- 29. The device of Claim 25 wherein the multiplicity of pores formed in said membrane are approximately 3.0 microns
  - in size.

    30. The device of Claim 25 wherein said rotating cylindrical membrane filter comprises a membrane formed of
  - polymeric film.

    31. The device of Claim 25 wherein said membrane comprises polycarbonate film.
  - 32. The device of Claim 31 wherein said membrane comprises polycarbonate film which is approximately 10 microns thick.
  - 25 33. The device of Claim 25 wherein the filtration area of said rotating cylindrical membrane filter is approximately 70 square centimeters.
    - 34. The device of Claim 25 wherein the filtration area of said rotating cylindrical membrane filter is approximately
  - 70 square centimeters and the pores formed in said rotating cylindrical membrane filter are approximately 3.0-3.5 microns in size.
    - 35. The device of Claim 25 wherein said membrane has a pore density of approximately 2  $\times$  10<sup>6</sup> pores per square centimeter.

- 36. The device of Claim 34 wherein said rotating cylindrical membrane filter further comprises a membrane having a pore density of approximately 2  $\times$  10<sup>6</sup> pores per square centimeter.
- 5 37. The device of Claim 25 further comprising:
  a generally cylindrical rigid housing surrounding said
  rotating membrane filter;
  said housing having a cylindrical wall having an inner
  surface; and
- said housing being sized and configured relative to said rotating membrane filter such that there exists a gap space of approximately 0.20-0.30 inches in width between said rotating membrane filter and the inner surface of the cylindrical wall of said housing.
- 15 38. The device of the immediately preceding claim number wherein:

said first inlet flow path comprises a first inlet aperture formed in said housing to permit infusion of fluid into said gap space;

- said first outlet flow path comprises a first outlet aperture formed in said housing to permit outflow of fluid from said gap space; and said second outlet flow path comprises a second outlet aperture formed in said housing to permit outflow of material which has passed through said rotating cylindrical membrane filter.
  - 39. A tubing harness/component set for use with an automated apheresis instrument to effect removal of leukocytes from a leukocyte containing blood fraction to yield a leukocyte depleted blood fraction, said tubing harness/component set comprising:
    - a rotating membrane filter apparatus comprising a rigid outer housing and a rotating membrane filter positioned within said rigid outer housing, said rotating membrane

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fraction;

filter having a multiplicity of pores of approximately 3.0-3.5 microns in size formed therein;

- a first inlet port formed in the housing of said rotating membrane filter apparatus to permit inflow of said leukocyte contaminated blood fraction thereinto; a first outlet port formed in the housing of said rotating membrane filter apparatus to permit outflow therefrom of platelets and unfiltered residual material which has not passed through the pores of said membrane; a second outlet port formed in said housing to permit outflow therefrom of said leukocyte depleted blood
- a first inflow tube for fluidly connecting the first inlet aperture of said rotating membrane filter apparatus to a source of said leukocyte contaminated blood fraction;
- a first outflow tube for fluidly connecting said first outlet port of said rotating membrane filter apparatus to said first collection vessel; and
- a second outflow tube for fluidly connecting said second outlet port of said rotating membrane filter apparatus to said second collection vessel.
  - 40. The tubing harness/component set of Claim 39 wherein said rotating membrane filter apparatus further comprises:
- 25 a generally cylindrical rigid housing having a cylindrical sidewall; and
  - a cylindrical membrane filter element rotatably mounted within said cylindrical housing.
- 41. The tubing harness/component set of Claim 40 wherein:

  30 said first inlet port comprises an aperture in said housing to permit inflow of said leukocyte contaminated blood fraction into said gap space; said first outlet port comprises an opening formed in said housing to permit outflow of platelets and

unfiltered residual material from said gap space; and

said second outflow port comprises an opening in said housing positioned to receive and permit outflow of the leukocyte depleted blood fraction which has passed from said gap space and through the pores of

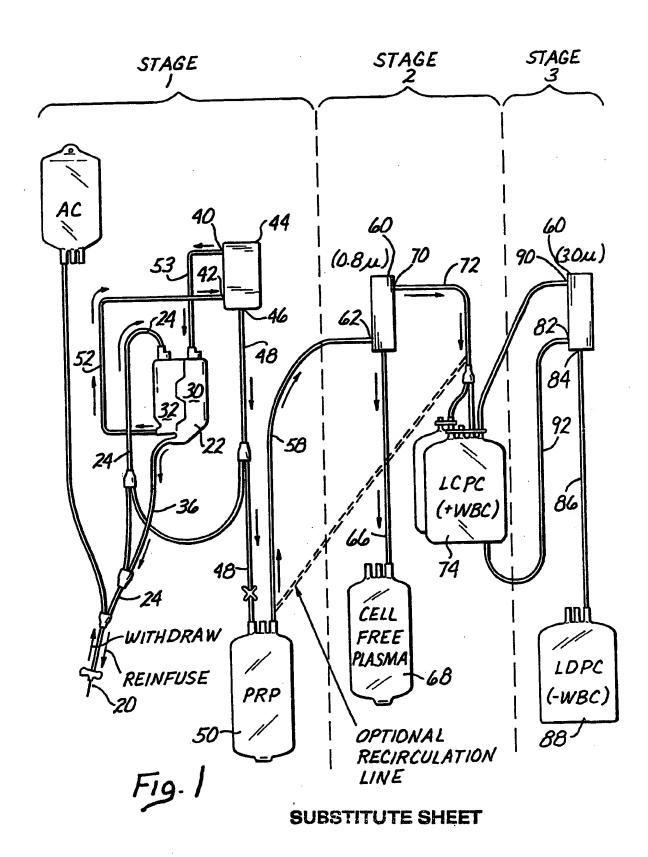
- 5 said membrane filter.
  - 42. The tubing harness/component set of Claim 40 wherein said gap space is approximately 0.230 inches in width.
  - 43. The tubing harness/component set of Claim 39 wherein the pores formed in said membrane are approximately 3.0 microns in size.
  - 44. The tubing harness/component set of Claim 39 wherein the density of pores in said membrane is approximately 2  $\times$  10<sup>6</sup> pores per square centimeter.
- 45. The tubing harness/component set of Claim 39 wherein said rotating membrane filter element has a filtration area of approximately 70 square centimeters.
  - 46. The device of Claim 37 wherein said gap space is approximately 0.230 inches in width.

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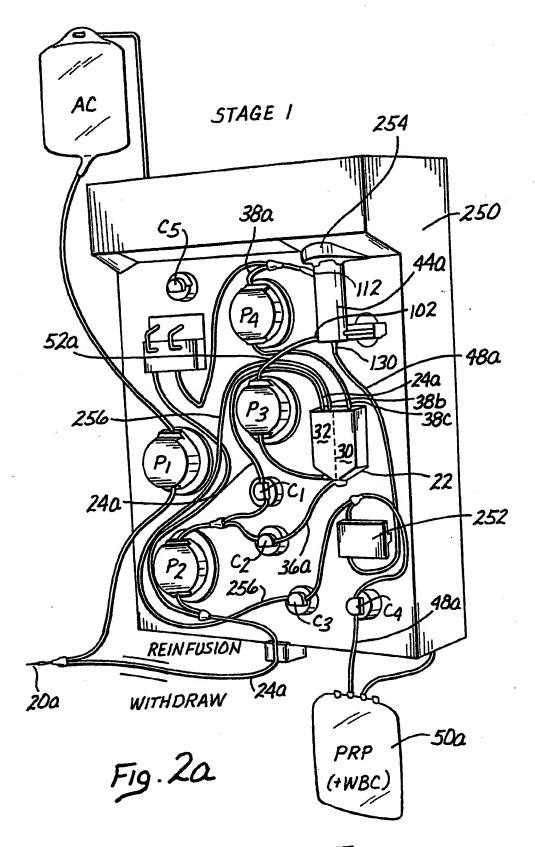
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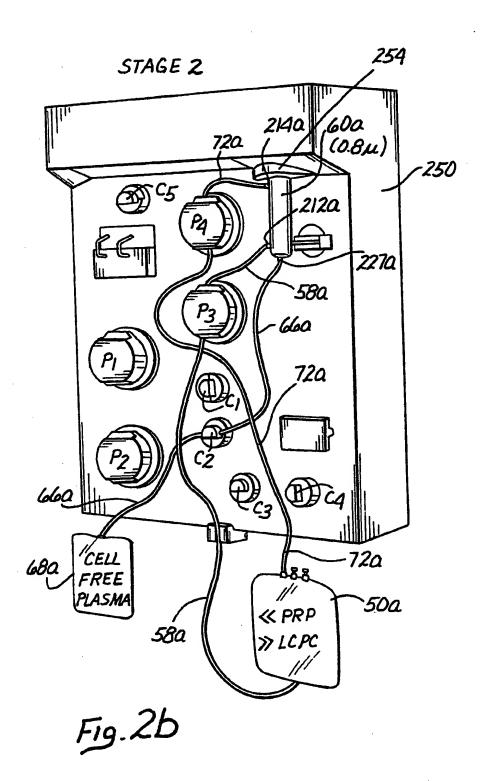


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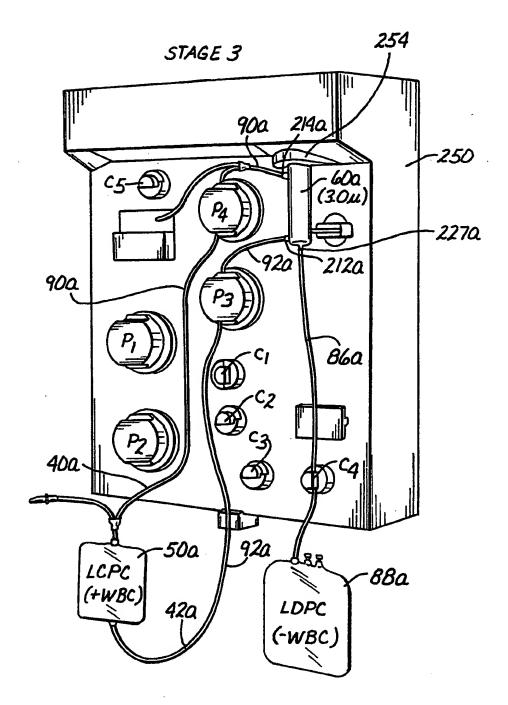
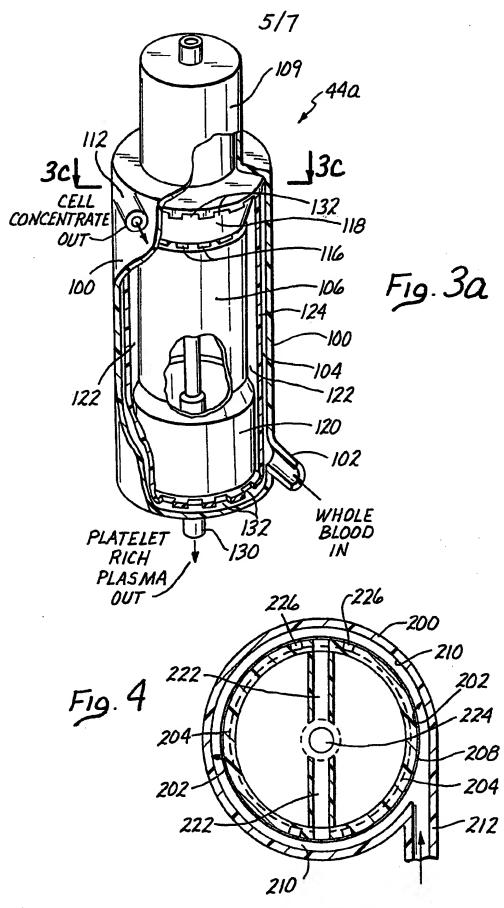
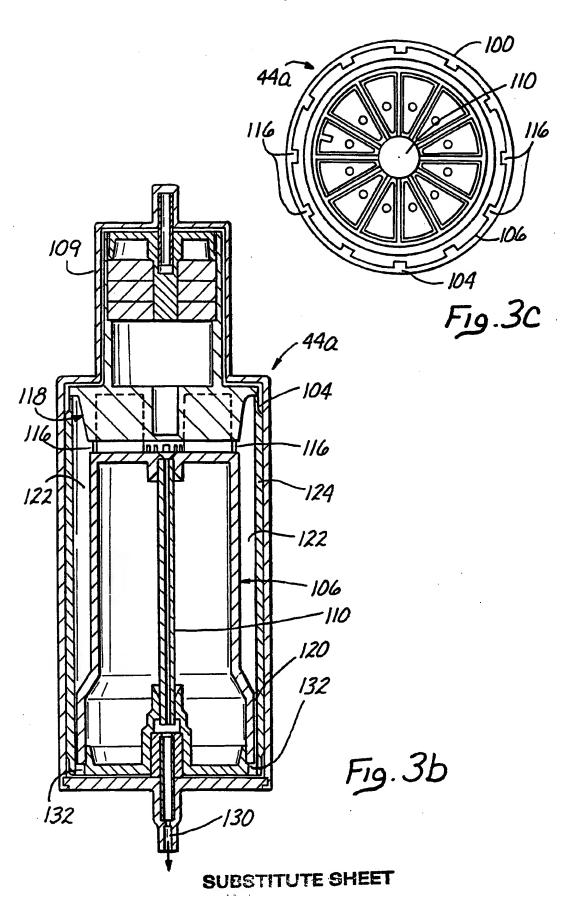


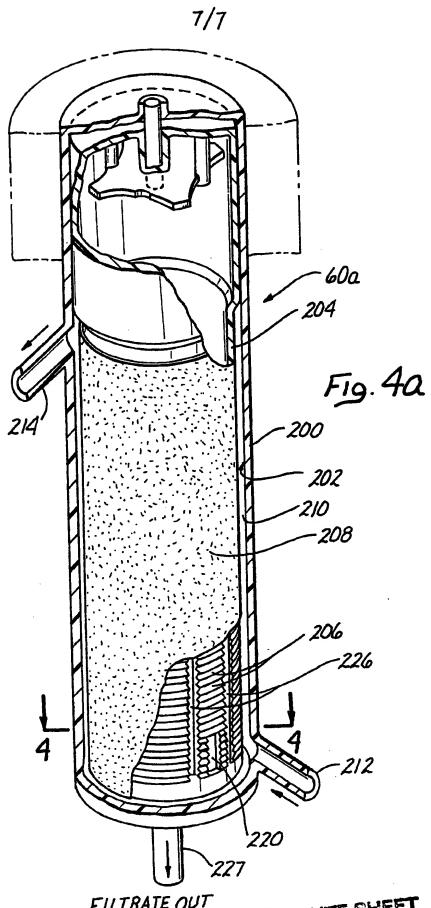
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Information on patent family members

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